# MALAY SHAH & ASSOCIATES
## Company Secretaries
E-9, Sardar Patel Society, Nehru Road, Vile Parle (East), Mumbai – 400 057.
Cell: 9819317885, E-mail: csmalayshah@gmail.com


## ANNUAL SECRETARIAL COMPLIANCE REPORT
**(Pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**
**OF**
**TAINWALA CHEMICALS AND PLASTICS (INDIA) LIMITED**
**for the financial year ended 31st March, 2021**

We, Malay Shah & Associates, Company Secretaries have conducted online verification and examination of the following as facilitated by the Company, due to Covid 19 and subsequent lockdown situation, for the purpose of issuing this report:

(a) all the documents and records made available to us and explanation provided by the Company and its officers;

(b) the filings/submissions made by the Company to the National Stock Exchange of India Limited, from time to time,

(c) website of the listed entity, and

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31stMarch, 2021 ("Review Period") in respect of compliance with the provisions of :

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and

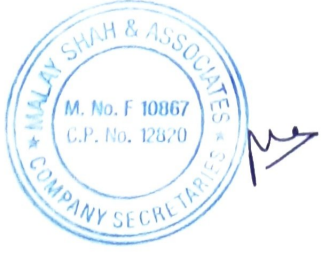
(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not Applicable to the Company during the review period)

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



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(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not Applicable to the Company during the review period)

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not Applicable to the Company during the review period)

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not Applicable to the Company during the review period)

(g) Securities and Exchange Board of India(Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations,2013; (Not Applicable to the Company during the review period)

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued there under and based on the above examination,
We hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:-

| Sr. No. | Compliance Requirement (Regulations/ circulars / guidelines including specific clause) | Deviations | Observations/ Remarks of the Practicing Company Secretary |
|---|---|---|---|
| Not Applicable | | | |

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued there under insofar as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

| Sr.No. | Action Taken by | Details of Violation | Details of action taken E.g. fines, warning letter, debarment, etc. | Observations/ remarks of the Practicing Company Secretary, if any. |
|---|---|---|---|---|
| Not Applicable | | | | |

MALAY SHAH & ASSOCIATES * COMPANY SECRETARIES * M. No. F 10867 C.P. No. 12820

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d) The listed entity has taken the following actions to comply with the observations made in previous reports:

| Sr. No. | Observations of the Practicing Company Secretary in the previous reports | Observations made in the secretarial compliance report for the year ended 31.03.2020 | Actions taken by the listed entity, if any | Comments of the Practicing Company Secretary on the actions taken by the listed entity |
|---|---|---|---|---|
| Not Applicable | | | | |

e) The listed entity has complied with the provisions of SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019 in respect of appointment of Auditor, to the extent applicable.

**For Malay Shah & Associates**
**Company Secretaries**

MALAY SHAH & ASSOCIATES * COMPANY SECRETARIES * M. No. F 10867 C.P. No. 12820

**Malay Shah**
**Proprietor**
**Membership no: F10867**
**COP : 12820**
**UDIN: F010867C000273415**

**Place : Mumbai**
**Date : 11/05/2021**